

UTILITY PATENT APPLICATION TRANSMITTAL

TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1 53(b))

	ľ
tornev Docket No.	9504-001-2

First Inventor or Application Identifier

William MUHAMMAD

Title INTELLECTUAL PROPERTY BROKERAGE SYSTEM AND METHOD

Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 APPLICATION ELEMENTS ADDRESS TO: See MPEP chapter 600 concerning utility patent application contents Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing) **ACCOMPANYING DOCUMENTS** 6. ☐ Assignment Papers (cover sheet & document(s)) Applicant claims small entity status. 37 C.F.R. §3.73(b) Statement \Box Power of Attorney (when there is an assignee) Specification **Total Pages** 24 English Translation Document (if applicable) Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS 6 ■ Drawing(s) (35 U.S.C. 113) Total Sheets 9. 🗆 Citations 10.

Preliminary Amendment 2 White Advance Serial No. Postcard **Total Pages** 11. Oath or Declaration Newly executed (original or copy) Certified Copy of Priority Document(s) 12. Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 15 completed) (if foreign priority is claimed) 13. ☐ Request for Priority Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. [□]5. □ List of Inventors' Names and Addresses 14. 15. □ Other: If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: ☐ Continuation-in-part (CIP) of prior application no .: □ Continuation □ Divisional Group Art Unit: Prior application information: Examiner: 47. Amend the specification by inserting before the first line the sentence: _ □ This application is a □ Continuation ☐ Division □ Continuation-in-part (CIP) Filed on of application Serial No. ■ This application claims priority of provisional application Serial No. 60/168,629 Filed December 3, 1999 18. CORRESPONDENCE ADDRESS Supervisor, Patent Prosecution Services PIPER MARBURY RUDNICK & WOLFE LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085

Name	Steven B. Kelber	Registration No. 30,073
Signature	Au W. Yeur	Date / 1 / 10-8 Telephone 202-861-3900
Name	James M. Heintz	Registration No. 41,828

D										Docket No	•	950	9504-001-27			
s								Serial No.		Ne	w Appli	cation	La on			
FEE TRANSMITTAL							Filing Date		He	rewith	4.8					
							Inventor(s))	Wil	liam MI	JHAMMAD	2.c				
G								Group Art	Unit		8 0					
TOTAL AMOUNT OF PAYMENT \$440.00 E											xaminer					
Applicant claims small entity status.									T	FEE CALCULATION (continued)						
□ Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442.								3. ADI	3. ADDITIONAL FEES							
☐ Charge the indicated fees to Deposit Account No. 50-1442.									Large	Entity	Small	Small Entity Fee Description				
2. ■ Che										Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid	
		FI	EE CA	LCU	LATIO	N				105	130	205	65	Surcharge-late filing fee or oath		
1. BASIC I	FILING F	EE								127	50	227	25	Surcharge-late provisional filing fee or cover sheet		
Earge Entity	Sma	II Enti	ty	Fe	e Descrip	tion				139	130	139	130	Non-English specification		
Fee Fee (S)	Fee Code		Fee (\$)						Fee Paid	147	2520	147	2520	Ex parte reexam fee		
101 710	201		355	Utility filing fee					355.00	115	110	215	55	1-mo ext. of time		
==406 320	206		160	De	sìgn filing	fee				116	390	216	195	2-mo. ext of time		
107 490	207		245	Plant filing fee						117	890	217	445	3-mo ext. of time		
108 710	208		355	Reissue filing fee						118	1390	218	695	4-mo. ext. of time		
114 150	214		75	Provisional filing fee						128	1890	228	945	5-mo ext. of time		
					SUBT	ОТ	AL (1)		\$355.00	119	310	219	155	Notice of Appeal		
ua ua	CLAIM F	EES	3							120	310	220	155	Appeal Bnef		
tot. claims	25	-	20*	=	5	х	\$9	=	45	121	270	221	135	Request for Oral Hearing		
ind. claims	4	-	3*	=	1	×	\$40	=	40		1240	242	620	Utility/Reissue Issue Fee		
□ Multip	ole Depen	iden	t Claim	s			\$135	Ē	<u> </u>	143	440	243	220	Design Issue Fee	_	
Large Entity	Smal	nall Entity Fee Description							144	600	244	300	Plant Issue Fee			
Fee Fee Code (\$)	Fee Code		Fee (\$)							122	130	122	130	Petitions to the Commissioner		
103 180	203	L.	9	Claims in excess of 20						126	180	126	180	IDS Submission		
102 80	202	_	40	Independent claims in excess of 3						581	40	581	40	Assignment		
104 279	204		135	Multiple dependent claim, if not paid						179	710	279	355	For Filling RCE	1	
109 80	209	_	40	*Reissue independent claims over original patent						169	900	169	900	Expedited Design		
110 18	210		9	*Reissue claims in excess of 20 and over original patent						OTHER (ndicate below).				
SUBTOTAL (2) \$85.00																
or number previously paid, if greater, For Reissues, see above											SUBTOTAL (3)	\$0.00				
						7										
Name	Steve	n B.	Kelber	r A							Reg	istration	No.	30,073		
Signature	~	۸.	W	16	MOL	$\neg \emptyset$				Date	$1 \wedge 1$	Ula.	ו ה	Telephone	202-861-3900	

Registration No.

41,828

James M. Heintz

Name